

REVISED ACTIVE TREATMENT STANDARD

CFR	TAG	STANDARD
§483.440	W195	Condition of participation: Active treatment services.
§483.440(a)	W196	Standard: Active treatment
§483.440(a)(1)		Each client must receive a continuous active treatment program, which includes aggressive, consistent implementation of a program of specialized and generic training, treatment, health services and related services described in this subpart, that is directed toward --
§483.440(a)(1)(i)		The acquisition of the behaviors necessary for the client to function with as much self determination and independence as possible; and
§483.440(a)(1)(ii)		The prevention or deceleration of regression or loss of current optimal functional status.
§483.440(c)	W206	Standard: Individual program plan
§483.440(c)(1)		Each client must have an individual program plan developed by an interdisciplinary team that represents the professions, disciplines or service areas that are relevant to -
§483.440(c)(i)		Identifying the client's needs, as described by the comprehensive functional assessments required in paragraph (c)(3) of this section; and
§483.440(c)(1)(ii)		Designing programs that meet the client's needs.
§483.440(c)(2)	W207	Appropriate facility staff must participate in interdisciplinary team meetings.
§483.440(c)(2)	W208	Participation by other agencies serving the client is encouraged.
§483.440(c)(2)	W209	Participation by the client, his or her parent (if the client is a minor), or the client's legal guardian is required unless the participation is unobtainable or inappropriate.
§483.440(c)(3)	W210	Within 30 days after admission, the interdisciplinary team must perform accurate assessments or reassessments as needed to supplement the preliminary evaluation conducted prior to admission.
§483.440(c)(3)	W211	The comprehensive functional assessment must Take into consideration the client's age (for example, child, young adult, elderly person) and the implications for active treatment at each stage, as applicable, and must –
§483.440(c)(3)(i)	W212	Identify the presenting problems and disabilities and where possible, their causes;

§483.440(c)(3)(ii)	W213	Identify the client's specific developmental strengths;
§483.440(c)(3)(iii)	W214	Identify the client's specific developmental and behavioral management needs;
§483.440(c)(3)(iv)	W215	Identify the client's needs for services without regard to the actual availability of the services needed; and
§483.440(c)(3)(v)	W216	include
	W217	physical development and health,
	W218	nutritional status,
	W219	sensorimotor development,
	W220	speech and language development
	W221	and auditory functioning,
	W222	cognitive development,
	W223	social development,
	W224	adaptive behaviors or independent living skills necessary for the client to be able to function in the community,
	W225	and as applicable, vocational skills.
§483.440(c)(4)	W226	Within 30 days after admission, the interdisciplinary team must prepare for each client an individual program plan
	W227	that states the specific objectives necessary to meet the client's needs, as identified by the comprehensive assessment required by paragraph (c)(3) of this section,
	W228	and the planned sequence for dealing with those objectives.
§483.440(c)(4)	W229	These objectives must -
§483.440(c)(4)(i)	W230	Be stated separately, in terms of a single behavioral outcome;
§483.440(c)(4)(ii)	W231	Be assigned projected completion dates;
§483.440(c)(4)(iii)	W232	Be expressed in behavioral terms that provide measurable indices of performance;
§483.440(c)(4)(iv)	W233	Be organized to reflect a developmental progression appropriate to the individual; and
§483.440(c)(4)(v)		Be assigned priorities.
§483.440(c)(5)	W234	Each written training program designed to implement the objectives in the individual program plan must specify:
§483.440(c)(5)(i)	W235	The method to be used;
§483.440(c)(5)(ii)	W236	The schedule for use of the method;
§483.440(c)(5)(iii)	W237	The person responsible for the program;
§483.440(c)(5)(iv)	W238	The type of data and frequency of data collection necessary to be able to assess progress toward the desired objective;
§483.440(c)(5)(v)	W239	The inappropriate client behavior(s), if applicable; and
§483.440(c)(5)(vi)		Provisions for the appropriate expression of behavior and the replacement of inappropriate behavior, if applicable, with behavior that is adaptive or appropriate.

§483.440(c)(6) §483.440(c)(6)(i)	W240	The individual program plan must also: Describe relevant interventions to support the individual toward independence.
§483.440(c)(6)(ii)	W241	Identify the location where program strategy information (which must be accessible to any person responsible for implementation) can be found.
§483.440(c)(6)(iii)	W242	Include, for those clients who lack them, training in personal skills essential for privacy and independence (including, but not limited to, toilet training, personal hygiene, dental hygiene, self feeding, bathing, dressing, grooming, and communication of basic needs), until it has been demonstrated that the client is developmentally incapable of acquiring them.
§483.440(c)(6)(iv)	W243 W244 W245	Identify mechanical supports, if needed, to achieve proper body position, balance, or alignment. The plan must specify the reason for each support, the situations in which each is to be applied, and a schedule for the use of each support.
§343.440(c)(6)(v)	W246	Provide that clients who have multiple disabling conditions spend a major portion of each waking day out of bed and outside the bedroom area, moving about by various methods and devices whenever possible.
§343.440(c)(6)(vi)	W247	Include opportunities for client choice and self-management.
§343.440(c)(7)	W248	A copy of each client's individual plan must be made available to all relevant staff, including staff of other agencies who work with the client, and to the client, parents (if the client is a minor) or legal guardian.
§483.440(d) §483.440(d)(1)	W249	Standard: Program implementation As soon as the interdisciplinary team has formulated a client's individual program plan, each client must receive a continuous active treatment program consisting of needed interventions and services in sufficient number and frequency to support the achievement of the objectives identified in the individual program plan.
§483.440(d)(2)	W250	The facility must develop an active treatment schedule that outlines the current active treatment program and that is readily available for review by relevant staff.

§483.440(d)(3)	W251	Except for those facets of the individual program plan that must be implemented only by licensed personnel, each client's individual program plan must be implemented by all staff who work with the client, including professional, paraprofessional and nonprofessional staff.
§483.440(e) §483.440(e)(1)	W252	Standard: Program documentation. Data relative to accomplishment of the criteria specified in client individual program plan objectives must be documented in measurable terms.

§483.440(e)(2)	W253 W254	The facility must document significant events that are related to the client's individual program plan and assessments and that contribute to an overall understanding of the client's ongoing level and quality of functioning.
§483.440(f) §483.440(f)(1) §483.440(f)(1)(i) §483.440(f)(1)(ii) §483.440(f)(1)(iii) §483.440(f)(1)(iv)	W255 W256 W257 W258	Standard: Program monitoring and change. The individual program plan must be reviewed at least by the qualified mental retardation professional and revised as necessary, including, but not limited to situations in which the client – Has successfully completed an objective or objectives identified in the individual program plan; Is regressing or losing skills already gained; Is failing to progress toward identified objectives after reasonable efforts have been made or; Is being considered for training towards new objectives.
§483.440(f)(2)	W259 W260	At least annually, the comprehensive functional assessment of each client must be reviewed by the interdisciplinary team for relevancy and updated as needed; and the individual program plan must be revised, as appropriate, repeating the process set forth in paragraph (c) of this section.